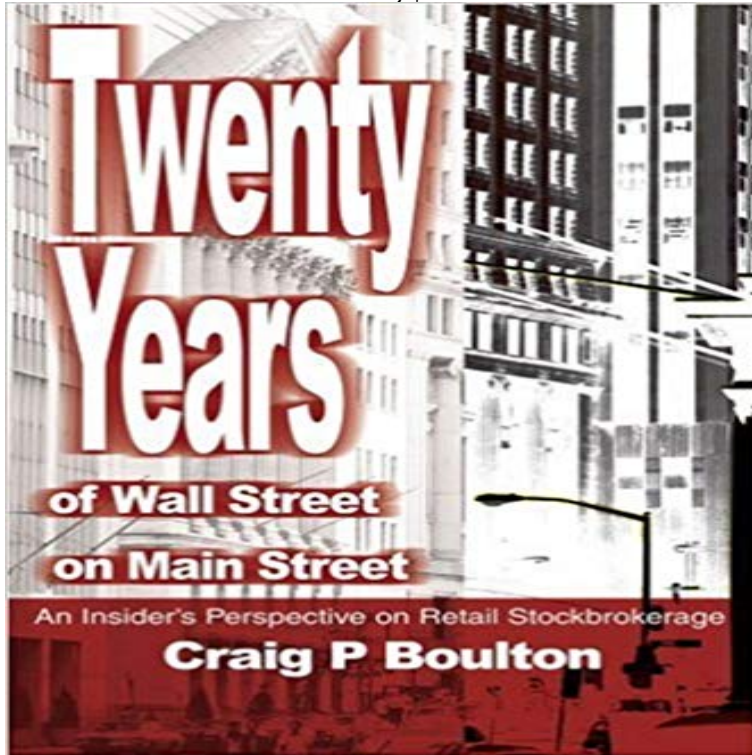


Twenty Years of Wall Street on Main Street: An Insiders Perspective on Retail Stockbrokerage



Twenty Years of Wall Street on Main Street is about the authors experiences working with myriad clients in just about every investment venue in existence. It also includes his observations of his peers and how they worked with the investing public and the use of various firm proprietary investment products to enhance firm revenue, often at the expense of the clients financial best interests. In the course of presenting his story, the author explains 20 years of financial market history and how that impacted his choices of investments for his clients. Additionally the author spends considerable time explaining the mechanics of investing through NYSE member firms including the rules of broker conduct, firm operations, the investment banking process, and how various firms exercised (or failed to exercise) their responsibilities in controlling broker misconduct. Finally, the text contains a wealth of information pertinent to investment decision making for investors of all levels of sophistication; a collection of necessary skills the author repeatedly demonstrated as a skilled practitioner under a multitude of difficult market conditions.

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